

## Additional Investment Form

This Additional Investment Form accompanies the Product Disclosure Statements (each, a 'PDS') for the funds that are listed in Section 2 (each a 'Fund' or collectively, the 'Funds'). Units in the Funds are issued by Yarra Funds Management Limited ('YFM') ABN 63 005 885 567, AFSL number 230 251. It is important that you read each PDS that relates to each Fund you wish to invest in, and the Important Additional Information document (if applicable) before applying for Units as they contain important information about investing in Units in each Fund. We will send you a paper copy of the PDS for any or all Funds that you are considering investing in and the Important Additional Information document (if applicable) on request without charge.

Please fax your completed Additional Investment Form to our Investor Services team 1300 154 458 or +61 1300 154 458 (Overseas). Alternatively, return your completed Additional Investment Form to: Investor Services team, GPO Box 764, Melbourne, VIC, 3001.

### 1 Yarra Funds Management Account Number

Do you have an existing investment in a YFM fund?

**Yes** – Please provide your Yarra Funds Management Account Number below

**No** – Please complete the Yarra Funds Management Application for Investment Form

Yarra Funds Management Account Number

Full name of investor

Date of birth (dd/mm/yy)

Full name of investor

Date of birth (dd/mm/yy)

Full name of investor

Date of birth (dd/mm/yy)

Mailing address

State

Postcode

Home phone

Mobile or Work phone

Your instructions on this Additional Investment Form will override any instructions previously given for your account and will apply to all your investments in the YFM funds.

### 2A More information about you

In relation to our Design and Distribution Obligations (DDO) under the Corporations Act, we seek the following information about your attributes as an investor. Failure to complete the questions below may result in delays in processing your application.

<b>Are you a retail client?</b>	<b>Yes</b>	<b>No</b>
<b>Have you received personal financial advice in relation to this product?</b>	<b>Yes</b>	<b>No</b>
<b>Are you within the target market (as set out in the Target Market Determination document)?</b>	<b>Yes</b>	<b>No</b>

### 2B Which Fund would you like to invest in?

Name of Fund	Please insert the date shown on the cover of the PDS	\$ Amount
Tyndall Australian Share Income Fund	PDS Dated	
Tyndall Australian Share Wholesale Fund	PDS Dated	
Tyndall Australian Small Companies Fund	PDS Dated	

Please note, a minimum additional investment amount may apply to some funds. Please refer to the Fund's Product Disclosure Statement for more information.

You can make payments via direct credit:

Bank: Citibank **BSB: 242-000** Account Number: **240237008** Account Name: **YFML Application 1017E Trust Account**

Should you need to speak to our Investor Services team please call 1800 034 494 (Australia) or +61 3 9002 1980 (Overseas).

## Additional Investment Form

# 3

### Applicant acknowledgement and signature(s) – ALL APPLICANTS MUST COMPLETE THIS SECTION

#### (a) Applicant acknowledgement

I/We agree to be bound by the provisions of the constitution that governs the Fund in which I/we apply to invest as amended from time to time.

I/We confirm that this Additional Investment Form accompanied the PDS for each Fund to which my/our application relates or was copied or derived by me/us from such a form. I/We also confirm that I/we have read each such PDS and the Important Additional Information (if applicable).

I/We agree that if I/we use the facsimile information and withdrawal facility or the telephone information facility I/we will be bound by the terms and conditions applicable to each Facility as defined and set out in the PDS or the Important Additional Information document, as amended from time to time.

I/We acknowledge that any telephone conversations with YFM or any of its related bodies corporate (together and individually, the Yarra Capital Management Group) may be recorded without further notice to me/us.

I/We acknowledge that the Yarra Capital Management Group may accept instructions from any one investor in a joint unitholding, unless I/we have specified otherwise on the Application for Investment Form.

I/We acknowledge and agree to promptly repay any withdrawal, distribution or other payment notified by the Responsible Entity to me/us as being made in error.

#### Collection notice and privacy consent

I/We acknowledge that I/we have read and understood the privacy policy referred to in the relevant PDS and available at [www.yarracm.com/privacy](http://www.yarracm.com/privacy) and I/we consent to the collection, storage, use and disclosure of personal information about me/us in the manner and for the purposes described in the privacy policy.

I/We acknowledge that by completing this application, I/we will provide Yarra Funds Management Limited (whose contact details are set out on the first page of this Additional Investment Form) with personal information including my/our name, address and contact details and other details, so that my/our application can be processed.

I/We acknowledge that YFM may collect personal information about me/us or other individuals from third parties so that my/our application can be processed.

I/We acknowledge that the collection of this personal information about me/us may be required under various Australian laws, including the *Corporations Act 2001* (Cth) and any applicable securities and anti-money laundering laws and equivalent laws of other jurisdictions.

I/We acknowledge that the personal information I/we provide may be disclosed to other Yarra Capital Management Group companies. I/We acknowledge that the personal information may also be disclosed by YFM to third party service providers carrying out functions for or on behalf of YFM or the Yarra Capital Management Group, other third parties (including my/our financial adviser, margin lending facility provider or other financial services provider providing services to me/us, my/our custodian or investment manager where applicable), any person acting on my/our behalf (including my/our solicitor, accountant, executor, administrator, trustee or guardian), or otherwise with my/our consent or as required by law.

I/We acknowledge that:

- the above parties may be located outside of Australia (including the United States, United Kingdom, Malaysia, Luxembourg, Japan, New Zealand, Singapore, Hong Kong, Canada, The Netherlands, India and any other countries specified in YFM's privacy policy at [www.yarracm.com/privacy](http://www.yarracm.com/privacy) or in which YFM's appointed unit registry administrator has offices);
- from time to time, the Yarra Capital Management Group or its third party service providers may store my/our information in cloud computing platforms or other types of networked systems;
- information stored in cloud computing platforms or other network based systems can be accessed from and transferred between, various countries over the internet, and as such, it is not always practicable to know in which country my/our information may be held;
- foreign countries in which my/our information may be held as described above may have privacy and data protection laws that may differ from those of Australia and which may not provide for the same level of protection for my/our personal information. I/we acknowledge being informed that if I/we consent, YFM will not be required to ensure that the overseas recipient will be required to treat my/our personal information in a way that is consistent with Australian privacy laws and I/we expressly consent to the transfer of my/our personal information to, or holding of my/our personal

information in, those countries on that basis; and overseas organisations may be required to disclose information that the Yarra Capital Management Group has shared with them, under foreign laws – in such circumstances, I/we will not hold the Yarra Capital Management Group liable for any such disclosure required by the laws of a foreign country.

I/We acknowledge that the Yarra Capital Management Group may contact me/us to offer other services or products which may be of interest to me/us unless I/we request in writing that the Yarra Capital Management Group does not do so.

I/We also understand that:

- failure to provide information required in this application (including any personal information) may mean that this application is not able to be processed or otherwise may affect the success of this application or any further application I/we may make to the Yarra Capital Management Group;
- the privacy policy available at [www.yarracm.com/privacy](http://www.yarracm.com/privacy) explains how I/we can access my/our personal information, how I/we can update or correct any personal information that the Yarra Capital Management Group holds about me/us and how I/we can make a complaint about a breach of the Privacy Act (including how the complaint will be dealt with by Yarra Capital Management Group);
- if I/we have any queries or would like any further information about the privacy policy and practices of the Yarra Capital Management Group, I/we can contact the Privacy Officer, Yarra Funds Management Limited, Level 19, 101 Collins Street, Melbourne VIC 3000, at any time in writing or via email at [privacy@yarracm.com](mailto:privacy@yarracm.com); and
- while the Yarra Capital Management Group will take all reasonable steps to protect information that I/we provide, the Yarra Capital Management Group cannot guarantee the security of certain types of information provided by me/us (for example over the internet or by email).

#### Other matters

I/We hereby certify that I/we am/are not a foreign shell bank (a bank that does not maintain a physical presence in any country).

I/We hereby certify that I/we am/are not a US Person(s) (as defined below) nor do I/we act on behalf of or for the benefit of any US Person and I/we agree to notify the Responsible Entity immediately if I/we become a US Person. Further, I/we understand and agree that the Units described in each PDS to which this application relates may not be offered to a US Person (as defined below) and I/we will not, subject to the discretion of the Responsible Entity, at any time cause my/our Units to be sold or transferred, directly or indirectly to or for the benefit of a US Person. US Persons include:

- a. any natural person resident in the United States;
- b. any partnership or corporation organised or incorporated under the laws of the United States;
- c. any estate of which any executor or administrator is a US Person;
- d. any trust of which any trustee is a US Person;
- e. any agency or branch of a foreign entity located in the United States;
- f. any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit or account of a US Person;
- g. any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organised, incorporated or (if an individual) resident in the United States; and
- h. any partnership or corporation if:
  - i. organised or incorporated under the laws of any foreign jurisdiction, and
  - ii. formed by a US person principally for the purpose of investing in securities not registered under the US Securities Act of 1933, as amended (the 'Securities Act'), unless it is organised or incorporated and owned by 'accredited investors' (as defined in Rule 501(a) under the Securities Act) who are not natural persons, estates or trusts.

I/We certify that I/we received the PDS to which my/our application relates:

- a. in Australia; or
- b. in such other jurisdiction that has been expressly approved by YFM, where such approval has not been withdrawn.

For investors who have received a PDS in New Zealand, where YFM has permitted circulation of the PDS in New Zealand, I/we also certify that the PDS to which this application relates was accompanied by the 'Warning statement – Australian offers in New Zealand'.

# Additional Investment Form

## 3

### Applicant acknowledgement and signature(s) – ALL APPLICANTS MUST COMPLETE THIS SECTION

#### (b) Applicant signature(s)

**1st Individual applicant OR director OR office bearer** (company signatories must include their company title)

Capacity (if company)	Director	Sole director and sole secretary
Signature	Date signed	
Full name		

**2nd Joint individual applicant OR director/secretary OR office bearer** (company signatories must include their company title)

Capacity (if company)	Director	Secretary
Signature	Date signed	
Full name		

**3rd Joint individual applicant**

Signature	Date signed
Full name	

- Companies signing by duly authorised representatives must provide appropriate documentation showing the proper appointment of the representatives to Yarra Funds Management Limited.
- If signed under Power of Attorney, the attorney hereby certifies that no notice of revocation of that power has been received by the attorney.
- Applications by clubs, charities, churches or unincorporated bodies must be signed by the authorised office bearers (e.g. A. Smith – President) and a copy of the Constitution/Rules attached.