

ADDITIONAL INVESTMENT FORM

Issued 2 February 2022

Funds

- Tyndall Australian Share Income Fund
ARSN 133 980 819
- Tyndall Australian Share Wholesale Fund
ARSN 090 089 562
- Yarra Australian Bond Fund
ARSN 098 736 255
- Nikko AM Global Share Fund
ARSN 092 026 269
- Nikko AM ARK Global Disruptive Innovation Fund
ARSN 627 341 744
- Nikko AM New Asia Fund
ARSN 116 556 113

Contact details

Yarra Capital Management Investor Services

Address: GPO Box 804
Melbourne VIC 3001

Phone: 1800 251 589
8.30am to 5.30pm (Sydney time)
Monday to Friday

Fax: 1300 362 722

Email: transactions@yarracm.com

Web: www.tyndallam.com
(for Tyndall funds)

www.yarracm.com
(for Yarra and Nikko AM funds)

2. Your investment objectives

In relation to our Design and Distribution Obligations (DDO) under the Corporations Act, we seek the following information about your attributes as an investor. Failure to complete the questions below may result in delays in processing your application.

What is your primary investment objective?

Capital Growth (increased value of your investment over time)

Capital preservation (preserving the value of your investment and preventing loss)

Capital guaranteed (shielding your investment from any losses)

Income distribution (receiving regular income from your investment)

What is the intended use of the Fund(s) in your investment portfolio?

Solution standalone (your primary investment - 75% - 100% of portfolio)

Core component (a large proportion of our investment - 25% - 75% of portfolio)

Satellite / small allocation (a small part of your investment - less than 25% of portfolio)

What is the intended investment timeframe?

Short term (two years or less)

Medium term (more than 2 years)

Long term (more than 8 years)

What is your tolerance for risk/return? (How much of a loss you are willing to tolerate within your portfolio when assessed against the possibility of greater returns)

Low

Medium

High

Very High

What is your anticipated frequency of withdrawals? (What do you anticipate your withdrawal needs may be?)

Daily

Weekly

Monthly

Quarterly

Annually or longer

Have you received advice prior to applying to invest in the Fund(s)?

I/We have received personal advice in relation to my investment in the Fund(s) (Financial product advice that has been given to you by a person who has considered one or more of your investment objectives)

I/We have received general advice in relation to my investment in the Fund(s) (Financial product advice that has been given to you but has not been tailored to your personal circumstances or investment objectives)

I/We have not received advice in relation to my investment in the Fund(s)



3. Investment details

If you wish to make a **one-off additional investment**, please specify the amount(s) you wish to invest. A minimum additional investment amount of **\$1,000** applies to each Fund.

If you wish to **start or update a Regular Savings Plan (RSP)**, please specify the amount(s) you wish to have invested monthly. A minimum RSP amount of **\$250** applies to each Fund.


If you wish to **stop your Regular Savings Plan**, please specify an amount of **\$0**.

Fund name	One-off investment amount	Regular Savings Plan amount
Tyndall Australian Share Income Fund	A\$ <input type="text"/>	A\$ <input type="text"/>
Tyndall Australian Share Wholesale Fund	A\$ <input type="text"/>	A\$ <input type="text"/>
Yarra Australian Bond Fund	A\$ <input type="text"/>	A\$ <input type="text"/>
Nikko AM Global Share Fund	A\$ <input type="text"/>	A\$ <input type="text"/>
Nikko AM ARK Global Disruptive Innovation Fund	A\$ <input type="text"/>	A\$ <input type="text"/>
Nikko AM New Asia Fund	A\$ <input type="text"/>	A\$ <input type="text"/>

Please note, your existing instructions (distribution, reporting preferences and financial institution account details) will continue to apply to your investment in the above Fund(s). If you wish to change your instructions, please complete the relevant form, available at www.tyndallam.com, www.yarracm.com or on request from Investor Services.

BPAY – telephone and internet banking

Please contact your bank or financial institution to make this payment from your cheque, savings, debit or transaction account using BPAY. You will need to quote your Reference Number and the Biller Code corresponding to the Fund you are investing in:

	Biller Code	Refer table below*
	Reference Number	Your Investor Number

Fund	Biller Code
Tyndall Australian Share Income Fund	189951
Tyndall Australian Share Wholesale Fund	189936
Yarra Australian Bond Fund	189993

Fund	Biller Code
Nikko AM Global Share Fund	190074
Nikko AM ARK Global Disruptive Innovation Fund	293654
Nikko AM New Asia Fund	545988

Please note, if you are investing in more than one Fund, you will need to make separate payments for each applicable Fund. BPAY is available on a range of accounts. For further information, go to www.bpay.com.au

* Registered to BPAY Pty Ltd ABN 69 079 137 518.

5. Signatures

Signing instructions

Individual – where the investment is in one name, the investor must sign.

Joint Holding – where the investment is in more than one name, all investors must sign, unless previously nominated otherwise.

Company – two directors or a director and a company secretary must sign, unless you are a sole director and sole company secretary. Please indicate the capacity in which the form is signed.

Trust – the trustee(s) must sign. Trustees signing on behalf of a trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the applicable trust deed.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney. If signing under a Power of Attorney you verify that the Power of Attorney has not been rescinded or revoked.

By signing this form I/we confirm that:

- I/we have received and read a paper or electronic copy of the latest PDS and the Additional Information to the Product Disclosure Statement of the Fund (which forms part of the PDS), together referred to below as 'the PDS', for each Fund I am/we are investing in.
- I/we understand that this additional investment is made on the basis of the applicable PDS current at the date this investment is processed.
- I/we agree to be bound by the terms of the constitution and PDS of each Fund I am/we are investing in.
- I/we declare that all details given by me/us on this form are correct.
- I/we agree to indemnify YIML and any other entity involved in this offering against any loss, liability, damage, claim, cost or expense incurred as a result of any information, representation, declaration, statement, acknowledgment or confirmation in this form being untrue or incorrect, or as a result of, or in connection with, my Direct Debit Request (if applicable).
- I/we acknowledge that YIML does not guarantee the repayment of capital or the performance of any of the Funds, or any particular rate of return from the Funds.
- I/we acknowledge the Funds are not bank deposits or other bank liabilities and each Fund is subject to investment risk and possible delays in repayment and loss of income and capital invested.
- I/we acknowledge that the information contained in a PDS is not investment advice or a recommendation and does not take into consideration my/our investment objectives, financial situation or particular needs. It is my/our obligation to seek any advice on an investment in the Fund(s).

Signature of Investor 1 / Director / Authorised Signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
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Company officer (please indicate company capacity)

- Director
- Sole Director and Company Secretary
- Authorised Signatory

Signature of Investor 2 / Director / Company Secretary / Authorised Signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
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Company officer (please indicate company capacity)

- Director
- Company Secretary
- Authorised Signatory

Direct Debit Request Agreement

Yarra Investment Management Limited (YIML) ABN 34 002 542 038, AFSL 229664.

This is your Direct Debit Service Agreement with Yarra Investment Management Limited ABN 34 002 542 038 (User ID 251590). It explains what your obligations are when undertaking a Direct Debit arrangement with us. It also details what our obligations are to you as your Direct Debit provider. **Please keep this agreement for future reference. It forms part of the terms and conditions of your Direct Debit Request (DDR) and should be read in conjunction with your DDR authorisation.**

Definitions

account means the account held at your financial institution from which we are authorised to arrange for funds to be debited.

agreement means this Direct Debit Request Service Agreement between you and us.

banking day means a day other than a Saturday or a Sunday or a public holiday listed throughout Australia.

debit day means the day that payment by you to us is due.

debit payment means a particular transaction where a debit is made.

direct debit request means the Direct Debit Request between us and you.

us or we means Yarra Investment Management Limited ABN 34 002 542 038 (User ID 251590) which you (the Debit User) have authorised by requesting a Direct Debit Request.

you means the customer who has signed or authorised by other means the Direct Debit Request.

your financial institution means the financial institution nominated by you on the Direct Debit Request at which the account is maintained.

1. Debiting your account

- 1.1 By signing a Direct Debit Request or by providing us with a valid instruction, you have authorised us to arrange for funds to be debited from your account. You should refer to the Direct Debit Request and this agreement for the terms of the arrangement between us and you.
- 1.2 We will only arrange for funds to be debited from your account as authorised in the Direct Debit Request.
- 1.3 If the debit day falls on a day that is not a banking day, we may direct your financial institution to debit your account on the following banking day. If you are unsure about which day your account has or will be debited you should ask your financial institution.

2. Amendments by us

- 2.1 We may vary any details of this agreement or a Direct Debit Request at any time by giving you at least fourteen (14) days written notice.

3. Amendments by you

- 3.1 You may change, stop or defer a debit payment, or terminate this agreement by providing us with at least fourteen (14) days notification by writing to:

Yarra Capital Management Investor Services
 GPO Box 804
 Melbourne VIC 3001
 Australia

or

by telephoning us on 1800 251 589
 during business hours;

or

arranging it through your own financial institution, which is required to act promptly on your instructions.

4. Your obligations

- 4.1 It is your responsibility to ensure that there are sufficient clear funds available in your account to allow a debit payment to be made in accordance with the Direct Debit Request.
- 4.2 If there are insufficient clear funds in your account to meet a debit payment:
 - (a) you may be charged a fee and/or interest by your financial institution;
 - (b) you may also incur fees or charges imposed or incurred by us; and
 - (c) you must arrange for the debit payment to be made by another method or arrange for sufficient clear funds to be in your account by an agreed time so that we can process the debit payment.
- 4.3 You should check your account statement to verify that the amounts debited from your account are correct.

5. Dispute

- 5.1 If you believe that there has been an error in debiting your account, you should notify us directly on 1800 251 589 and confirm that notice in writing with us as soon as possible so that we can resolve your query more quickly. Alternatively you can take it up directly with your financial institution.
- 5.2 If we conclude as a result of our investigations that your account has been incorrectly debited we will respond to your query by arranging for your financial institution to adjust your account (including interest and charges) accordingly. We will also notify you in writing of the amount by which your account has been adjusted.
- 5.3 If we conclude as a result of our investigations that your account has not been incorrectly debited we will respond to your query by providing you with reasons and any evidence for this finding in writing.

6. Accounts

You should check:

- (a) with your financial institution whether direct debiting is available from your account as direct debiting is not available on all accounts offered by financial institutions.
- (b) your account details which you have provided to us are correct by checking them against a recent account statement; and
- (c) with your financial institution before completing the Direct Debit Request if you have any queries about how to complete the Direct Debit Request.

7. Confidentiality

- 7.1 We will keep any information (including your account details) in your Direct Debit Request confidential. We will make reasonable efforts to keep any such information that we have about you secure and to ensure that any of our employees or agents who have access to information about you do not make any unauthorised use, modification, reproduction or disclosure of that information.
- 7.2 We will only disclose information that we have about you:
 - (a) to the extent specifically required by law; or
 - (b) for the purposes of this agreement (including disclosing information in connection with any query or claim).

8. Notice

- 8.1 If you wish to notify us in writing about anything relating to this agreement, you should write to:

Yarra Capital Management Investor Services
GPO Box 804
Melbourne VIC 3001
Australia
- 8.2 We will notify you by sending a notice in the ordinary post to the address we have recorded for you in our registry.
- 8.3 Any notice will be deemed to have been received on the third banking day after posting.